

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of

William ORTNER et al.

Group Art Unit: 3693

Serial No.: 10/628,208

Examiner: Jason BORLINGHAUS

Filed: July 29, 2003

For: **METHOD AND SYSTEM FOR PROVIDING MANDATORILY CONVERTIBLE SECURITIES WITH AN ASSOCIATED CALL SPREAD AGAINST A TRUST**

**FILED ELECTRONICALLY**

**RESPONSE TO NON-FINAL OFFICE ACTION**

United States Patent and Trademark Office  
Customer Service Window, Mail Stop Amendment  
Randolph Building  
Alexandria, VA 22314

Sir:

In response to the non-final Office Action mailed April 4, 2008, the undersigned respectfully requests reconsideration of the rejections of record in light of the following amendments and remarks.

**Amendments to the Claims** are reflected in the Listing of Claims which begins on page 2.

**Remarks** begin on page 5.